

Fare Regulations Concerning HNS Materials, Equipment and Experts Deployment Certificate Issuance

Established on December 1, 2009

Section 1: The Contract for HNS Response Resource Deployment and Emergency Response (hereinafter the “Contract”) and these Regulations stipulate the amounts of fees, proceedings and other items for the issuance of HNS Materials, Equipment and Expert Deployment Certificate (hereinafter the “Certificate”) to be made by the Marine Disaster Prevention Center (hereinafter the “Center”) under Section 4 of the Contract.

Section 2: Fees of certificate issuance are as follows:

(1) The table below summarizes the fees for issuance of an Annual Certificate.

Gross tonnage (G/T)	Annual Certificate fee (¥)	
	Excluding tax	Including tax
150 G/T to less than 300 G/T	190,000	199,500
300 G/T to less than 500 G/T	228,000	239,400
500 G/T to less than 750 G/T	266,000	279,300
750 G/T to less than 1,000 G/T	285,000	299,250
1,000 G/T to less than 3,000 G/T	323,000	339,150
3,000 G/T to less than 5,000 G/T	342,000	359,100
5,000 G/T to less than 10,000 G/T	399,000	418,950
10,000 G/T to less than 15,000 G/T	437,000	458,850
15,000 G/T to less than 20,000 G/T	532,000	558,600
20,000 G/T or more	551,000	578,550

(2) The Limited Annual Certificate fee is to be calculated by counting the previous Annual Certificate by the month.

(3) Amounts of Specified Period Certificate fees are as given in the table below.

(Unit: yen)

Gross tonnage (G/T)	Two (2) weeks		Four (4) weeks		Six (6) weeks	
	Excluding tax	Including tax	Excluding tax	Including tax	Excluding tax	Including tax
150 G/T to less than 300 G/T	31,000 (34,000)	(35,700)	62,000 (65,000)	(68,250)	93,000 (96,000)	(100,800)
300 G/T to less than 500 G/T	38,000 (41,000)	(43,050)	76,000 (79,000)	(82,950)	114,000 (117,000)	(122,850)
500 G/T to less than 750 G/T	44,000 (47,000)	(49,350)	88,000 (91,000)	(95,550)	132,000 (135,000)	(141,750)
750 G/T to less than 1,000 G/T	47,000 (50,000)	(52,500)	94,000 (97,000)	(101,850)	141,000 (144,000)	(151,200)
1,000 G/T to less than 3,000 G/T	53,000 (56,000)	(58,800)	106,000 (109,000)	(114,450)	159,000 (162,000)	(170,100)
3,000 G/T to less than 5,000 G/T	57,000 (60,000)	(63,000)	114,000 (117,000)	(122,850)	171,000 (174,000)	(182,700)
5,000 G/T to less than 10,000 G/T	66,000 (69,000)	(72,450)	132,000 (135,000)	(141,750)	198,000 (201,000)	(211,050)
10,000 G/T to less than 15,000 G/T	72,000 (75,000)	(78,750)	144,000 (147,000)	(154,350)	216,000 (219,000)	(229,950)
15,000 G/T to less than 20,000 G/T	88,000 (91,000)	(95,550)	176,000 (179,000)	(187,950)	264,000 (267,000)	(280,350)
20,000 G/T or more	91,000 (94,000)	(98,700)	182,000 (185,000)	(194,250)	273,000 (276,000)	(289,800)

Notes:

The bracketed figures in the above table indicate amounts, including a service charge, for certificate issuance.

Fees excluding tax for eight (8), ten (10) and twelve (12) weeks are respectively 4, 5 and 6 times the fee excluding tax for 2 weeks.

Section 3: The following table defines the service fees.

Type of fee	Amount (¥)
Fee for issuing Annual Certificate	Exempted
Fee for issuing Specified Period Certificate	3,000
Fee for issuing Limited Annual Certificate	Exempted
Fee for making a change that can affect the validity of a certificate, such as the name of a ship owner	3,000
Fee for refunding proceedings in the case of retirement of a ship due to an accident at sea or for any other reason after the issuance of an Annual Certificate (including Limited Annual Certificate)	3,000
Fee for extending period of Limited Annual Certificate	3,000
Bank transfer fee: to be borne by the client/requestor	Relevant amount

*Prices do not include tax

The cancellation fee in number 4, Section 4 of the Contract (¥10,000 excluding tax) includes a service fee for cancelling the issuance of a certificate after the Consigner's application for a certificate and payment into the bank account designated by the Center (including after issuance and dispatch of a certificate). In this case the refund amount is a balance of the fee for the certificate (paid-in amount) after deduction of an issuance fee and cancellation fee.

Section 4: Collection of additional fees, refund of fees and other proceedings are as follows:

(1) In the case of application for emergency issuance after the closing date for application for a Specified Period Certificate (emergency issuance), 50% of the certificate issuance fee is charged additionally (tax extra) to the issuance fee.

For a certificate for a period of four (4) weeks or more, the additional fee (tax extra) is 50% of the fee for issuing the certificate for two (2) weeks.

(2) If emergency application is made after March 20 (if that day is a bank holiday, the immediately preceding bank business day), the closing date for applying for an Annual Certificate, and between March 21 (if that day is a bank holiday, the immediately following bank business day) and April 30 (if that day is a bank holiday, the immediately preceding bank business day), 10% of the fee for certificate issuance (tax extra) is charged in addition to the Annual Certificate fee.

However, if an application for an Annual Certificate is made after May 1 (if that day is a bank holiday, the immediately following bank business day) (except for Limited Annual Certificate), the effective period of the certificate is stated as the current year's April 1 to March 31 of the following year, an Annual Certificate is issued, taking effect on the day of issuance (hereinafter in this section "Annual Certificate Starting Midyear"), and the Annual Certificate fee (for 12 months) is charged with no additional fee.

(3) An application for an Annual Certificate Starting Midyear (due to reasons other than a ship's sale, retirement or new registration) shall be made and proceedings shall be completed by three days before the day of issuance (if that day is a bank holiday, the immediately preceding bank

business day).

However, an application for emergency issuance of a certificate may be made two days before the day of issuance (if that day is a bank holiday, the immediately preceding bank business day) if necessary, provided that 10% of the certificate issuance fee is charged additionally (tax extra) to the fee for issuing the Annual Certificate.

(4) In the event of application for emergency issuance after a closing date for applying for a Limited Annual Certificate (emergency issuance), 10% of the certificate issuance fee is charged additionally (tax extra) to the fee for the Limited Annual Certificate, calculated by the Center via counting months.

(5) If the ship is sold or disposed of in any way during the effective period of an Annual Certificate or Limited Annual Certificate, the applicant must promptly notify the Center.

To apply for refund of the fee for the remaining effective period of the certificate, the applicant shall inform the Center in writing within three months of the date of the related event, and attach documents that help explain the status of operations in Japan (e.g., copy of the registration certificate, original register of deregistration or sales contract proving registration/deregistration for the original ship register).

The refund amount is a balance of the fee for the certificate after deduction of a refund service charge and the amount calculated by counting months including the month of the day of an event such as ship retirement.

(6) For a special case, such as emergency issuance of a certificate involving three or more consecutive bank holidays, emergency issuance is made, provided that a certificate issuance fee, the additional fee set forth in number 1 of this section and other related fees are paid by noon of the bank business day before the desired date of certificate issuance under the separately prescribed handling guidelines.

Section 5: These Regulations follow the provisions below.

- (1) Any fraction less than one yen is rounded up.
- (2) Numbers of days less than one month are handled as a month when a figure is calculated by counting by month.
- (3) Foreign trading ships are exempted from consumption taxes.
- (4) Proceedings for emergency issuance (exceptional clause in paragraph 2, Section 3 of the Contract and paragraph 6 of the previous section), proceedings for changes of ship owner or the like, or any other similar proceedings shall be accepted by fax as a rule.

Supplementary Provision:

1. These Regulations take effect on April 1, 2010.

2. These Regulations are applicable for any case of application for an Annual Certificate, Limited Annual Certificate or Specified Period Certificate for FY2010 prior to the execution of these Regulations.

However, the service charges for FY2009 apply to any change, extension, abolishment or similar of certificates issued during FY2009.

3. Applications for an Annual Certificate, Limited Annual Certificate and Specified Period Certificate for FY2009 follow treatment for previous cases even after execution of these Regulations.

Guidelines for Handling of HNS Materials, Equipment and Expert Deployment Certificate Emergency Issuance (Special Case)

Established December 1, 2009

1. Special cases of a closing date for applying for certificates with three or more consecutive bank holidays are to be handled in accordance with these handling guidelines as per number 6, Section 4, Fare Regulations Relating to HNS Materials, Equipment and Expert Deployment Certificate Issuance (hereinafter “Fare Regulations”).

2. The Center may perform emergency issuance of a certificate on the day before the starting date of an effective period in the case of a closing date for applying for a certificate with three or more consecutive bank holidays on the day before the starting date of the effective period (limited to bank business days), besides the two days before the starting date of an effective period as in the exceptional clause, paragraph 2, Section 3 of the Contract for HNS Response Resource Deployment and Emergency Response (hereinafter the “Contract”), provided that the Consigner satisfies all the following conditions and completes the required proceedings on the designated day for emergency issuance (special case) as set forth in Section 3 of these handling guidelines (hereinafter “Emergency Issuance on Preceding Day”).
 - (1) Emergency Issuance on Preceding Day applies to Annual Certificate, Limited Annual Certificate and Specified Period Certificate.
 - (2) The additional fee for Emergency Issuance on Preceding Day (tax extra) is 10% of the fee for an Annual Certificate and Limited Annual Certificate, and 50% of the fee for the Specified Period Certificate.
 - (3) The Consigner shall pay a fee for a certificate, an additional fee and other related fees into the bank account designated by the Center by noon (Japan time) of the designated day for emergency issuance (special case), and also confirm the reception of the payment with the Center.
 - (4) After issuance of a certificate, the Consigner shall immediately send to the Center, by fax or another means, a copy of a report on ship security information as in Article 44, Act on Assurance of Security of International Ships and Port Facility for ocean-going ships; a copy of the ship’s journal for non-ocean-going ships; or a copy of a document proving the day of entry in the Designated Areas, to allow the Center to confirm the day when the Ship enters the Designated Areas.

3. The Center shall set the designated day for emergency issuance (special case) as a bank business day immediately before or after the three consecutive bank holidays as a rule. The Center shall make the designated day for emergency issuance (special case) public on its website or by any

other means and also include it in these handling guidelines for the following fiscal year.

4. Proceedings for Emergency Issuance on Preceding Day shall be accepted by fax on the designated day for emergency issuance (special case). The applicant shall contact the Center by telephone and follow the instructions.

5. The following table shows the designated day for emergency issuance (special case).

2010	April 30, May 6, July 16, July 20, September 17, September 21, October 8, October 12, December 30
2011	January 4, January 7, January 11, February 10, February 14, March 18, March 22

Supplementary provision: These guidelines take effect on April 1, 2010.

For your reference

Examples of emergency issuance until two days before and emergency issuance on the preceding day¹ (special case)

(1) Example of emergency issuance until two days before: as has been done before, as a rule

Wed. 28	Thu. 29	Fri. 30	Sat. 1	Sun. 2	Mon. 3	Tue. 4	Wed. 5	Thu. 6	Fri. 7	Sat. 8	Sun. 9
◆/▲		●	◇	△	△	△	△	△/■	○	□	□

- ◇: Desired date of issuance → ◆: Closing date for application for emergency issuance
- △: Desired date of issuance → ▲: Closing date for application for emergency issuance
- : Desired date of issuance → ●: Closing date for application for emergency issuance
- : Desired date of issuance → ■: Closing date for application for emergency issuance

(2) Example of emergency issuance on the preceding day: application, by necessity, for special issuance

Wed. 28	Thu. 29	Fri. 30	Sat. 1	Sun. 2	Mon. 3	Tue. 4	Wed. 5	Thu. 6	Fri. 7	Sat. 8	Sun. 9
		▲	△	△	△	△	△	△/●/■	○	□	□

- : Designated date for emergency issuance (special case)
- △: Desired date of issuance → ▲: Confirmation for reception of payment by noon of the closing date for application for emergency issuance (special case)
- : Desired date of issuance → ●: Confirmation for reception of payment by noon of the closing date for application for emergency issuance (special case)
- : Desired date of issuance → ■: Closing date for application for emergency issuance

(3) Issuance on day of application

No certification is issued if payment is made and confirmed on the same day as the desired date of issuance.